



# Stock Assessment and Population Modeling

SC DNR Crustacean Workshop

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# Goals

- What is an assessment and why are they done
- Key principles of finfish assessments
- Challenges posed by crustacean life history



# Stock Assessment?

What did  
you  
catch  
today?



# Stock Assessment?



What did  
we catch  
today?



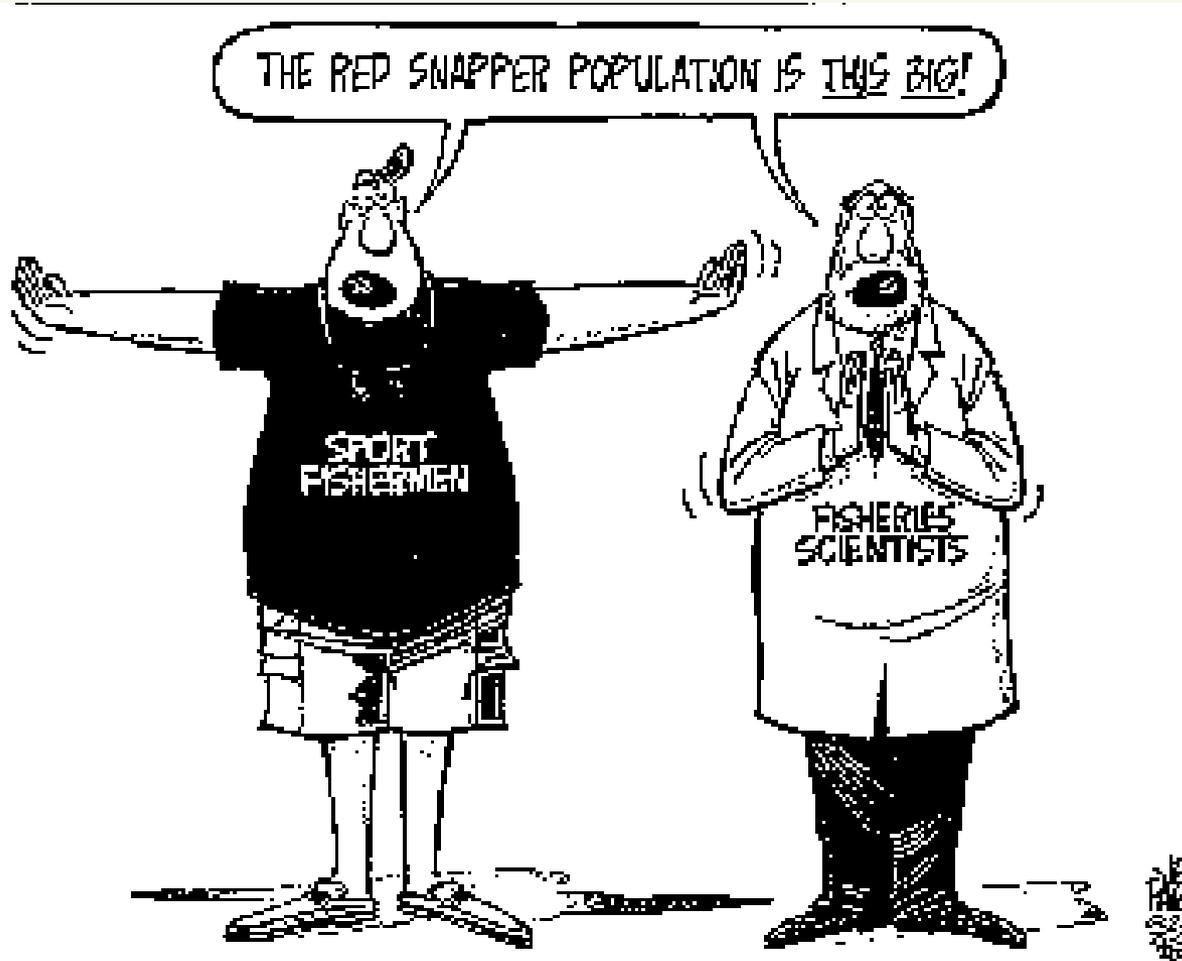
# Stock Assessment?



What will you get to catch today?



# Far too often...

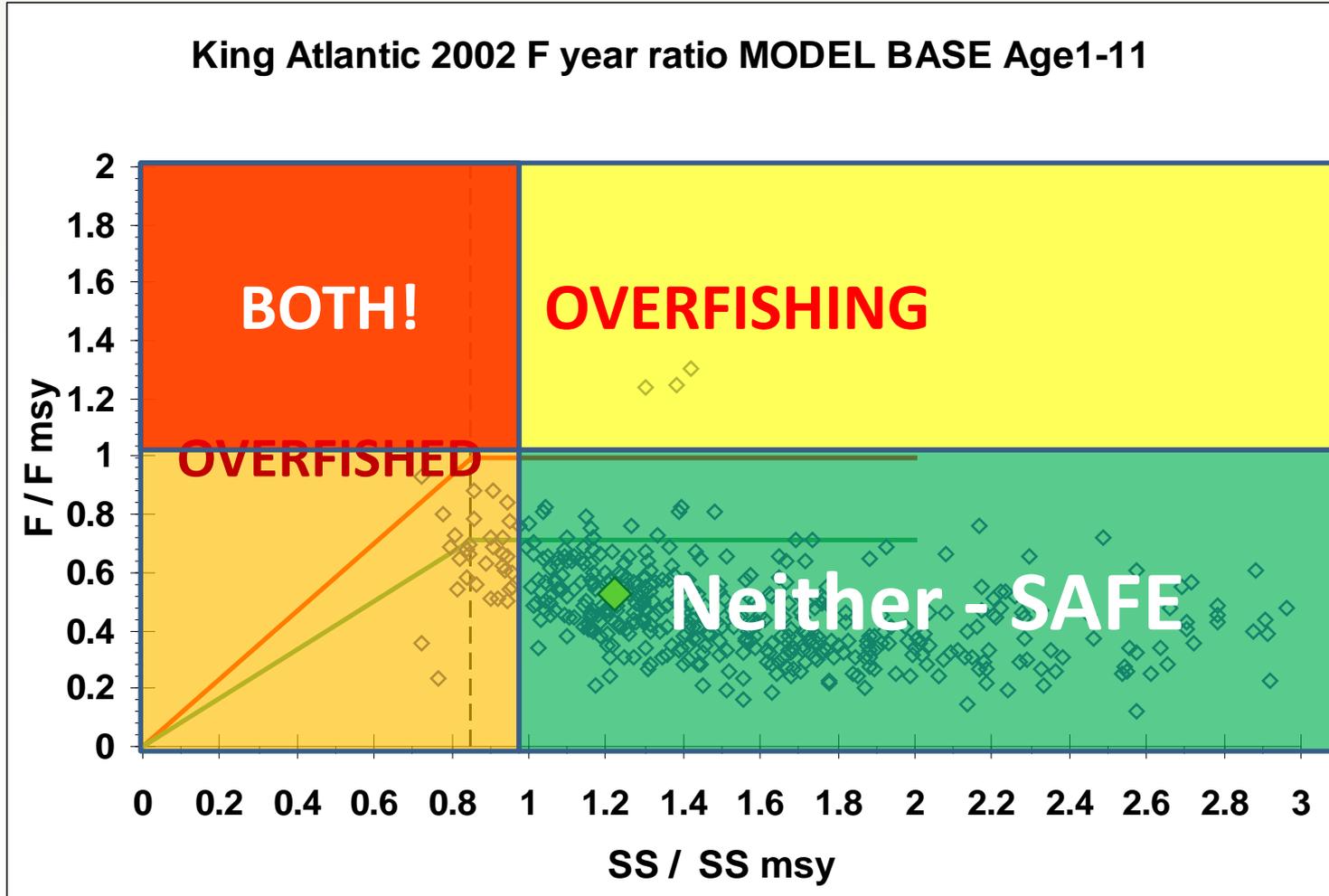




# WHY ASSESS STOCKS?



# Why: Stock STATUS



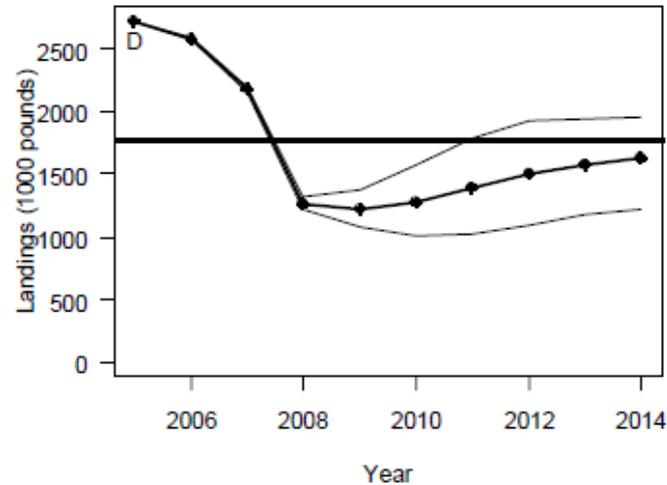
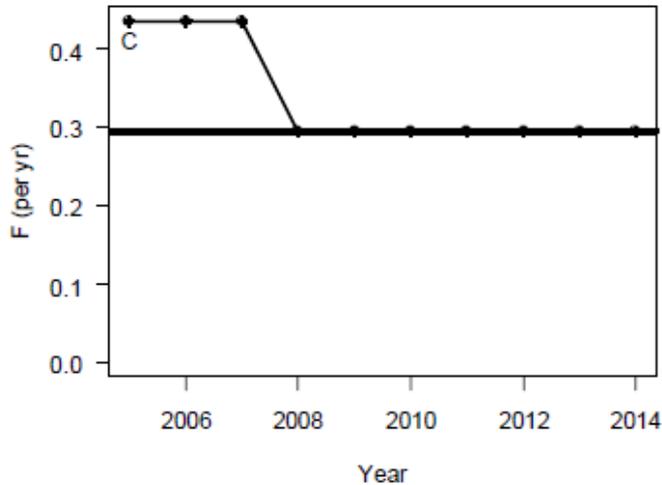
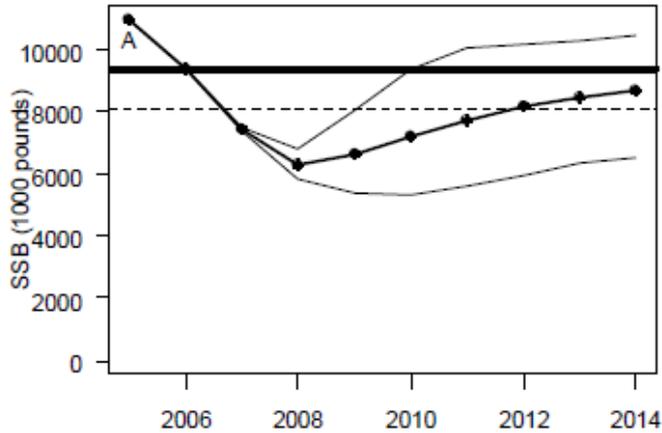
# Why: Fishing Level Recommendations

## Black Sea Bass Status and Fishing Level Recommendations

Criteria	Deterministic	Probabilistic
Overfished evaluation	No (SSB/SSB <sub>msy</sub> =1.03)	68% MCB runs above SSB <sub>msy</sub>
Overfishing evaluation	No (F/F <sub>msy</sub> =0.66)	93% MCB runs below F <sub>msy</sub>
MFMT	0.61	0.71 (median)
SSB <sub>msy</sub> (1E10 eggs)	256	241.277 (median)
MSST (1E10 eggs)	159	149.085 (median)
MSY (1000 lb)	1,780	
Y at 75% F <sub>msy</sub> (1000 lb)	1,756.45	
ABC Control Rule Adjustment	10%	
P-Star	40%	
OFL (1000 lb)	1,780 (MSY)	2,433 (2013 L+D) 2,194 (2014 L+D) 1,973 (2015 L+D)
ABC Recommendation (list by year if appropriate) (1000 lb)		2,258 (2013 L+D) 2,102 (2014 L+D) 1,921 (2015 L+D)



# Why: Management Options

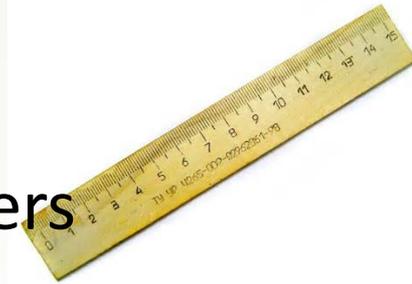


# What is a Stock Assessment?

Process of conducting analyses on a population to **QUANTITATIVELY**

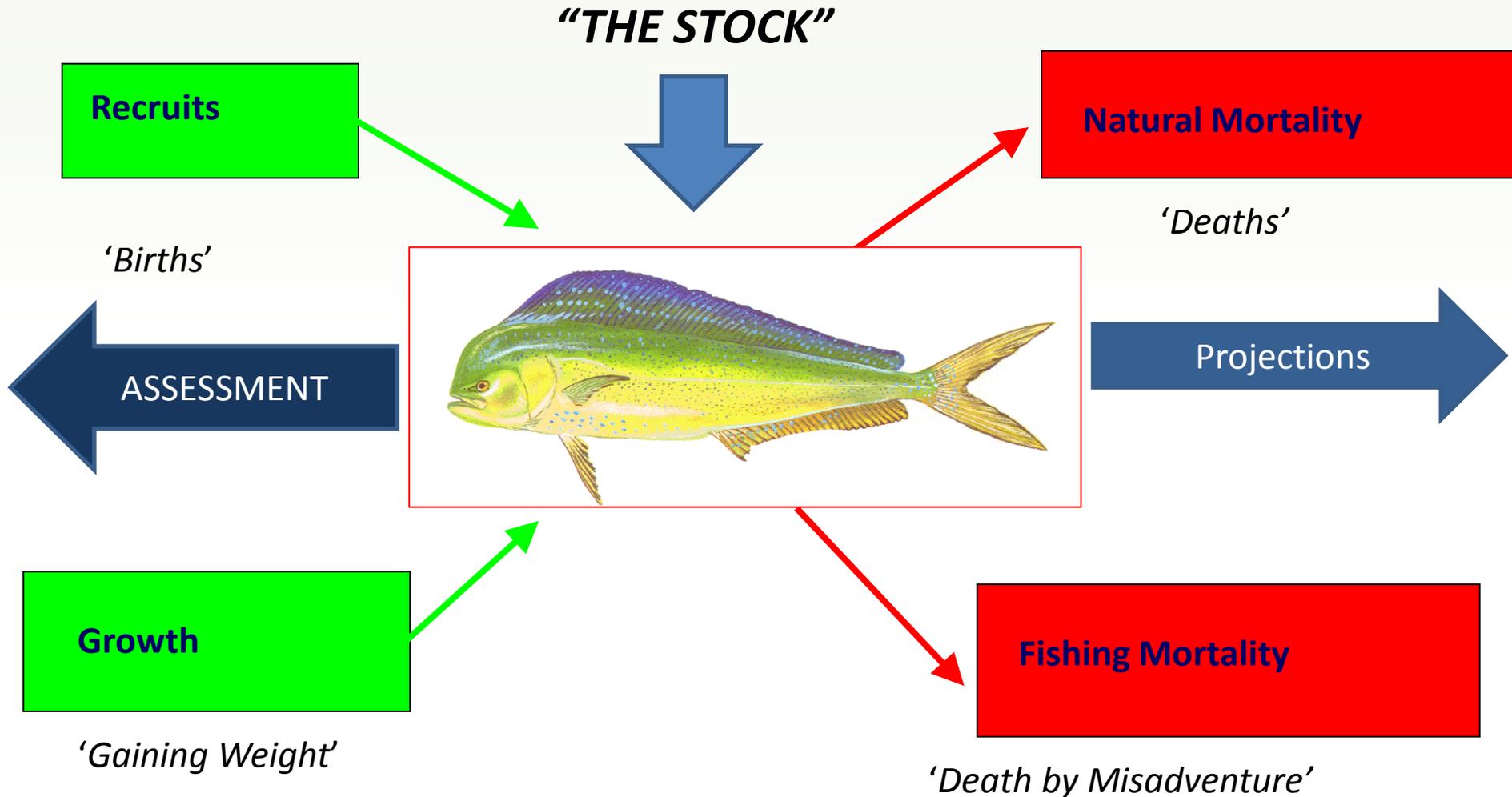
- provide values to management parameters
- characterize the **status** of a stock
- **PREDICT** the response of the population to management **CHOICES**

**QUANTITATIVE, STATUS**  
**PREDICT, CHOICES**



# What an assessment does:

Finds the values for the boxes and arrows, over time



**LOW**

**Data Needs**

**Complexity**

**Information**

**HIGH**

# Methods

- Trends Analysis
- CPUE
- Catch Curve (age or length)
- Biomass & Production
- Mark-Recapture, Tag Return
- Catch-Age
- Multi-species & Ecosystem



# Finfish Modeling Principles

**BASIC INPUTS**

**IMPORTANCE OF AGE DATA**

**STOCK AND RECRUITMENT**





# Fisheries is all about counting kills

- Fish population models are primarily based on dead fish back in time.

How foresters find out how many trees are in their plot



How fisheries scientists find out how many fish are in their stock



30 tons of logs



# Assessment Principles

The particulars of 'how' are complicated, but there are a few generalities

- Decline in abundance over age and time reflects mortality rate
- Amount of catch (i.e., what is removed from the population over time) reflects abundance and biomass (for constant effort and effectiveness)
- Surveys increase insight into recent past (incomplete cohorts) and how fishery interacts with the population (selectivity).



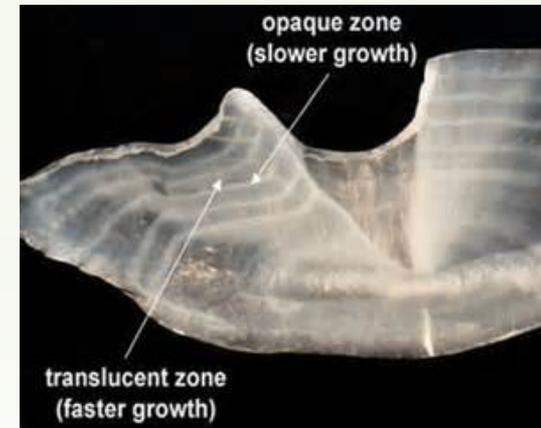
# State of the Art, Today

- Statistical Catch at Age Model
  - Catch at age
  - Auxiliary data such as surveys
  - Life history traits
  - Fishery traits (selectivity, regulation changes)
  - Uncertainty in inputs
  - Assumptions of the past
  - Ecosystem variables, possibly
  - non-linear (iterative) solutions
  - Everything modeled simultaneously



# Age is the foundation

- The **Cohort**....or Year Class...

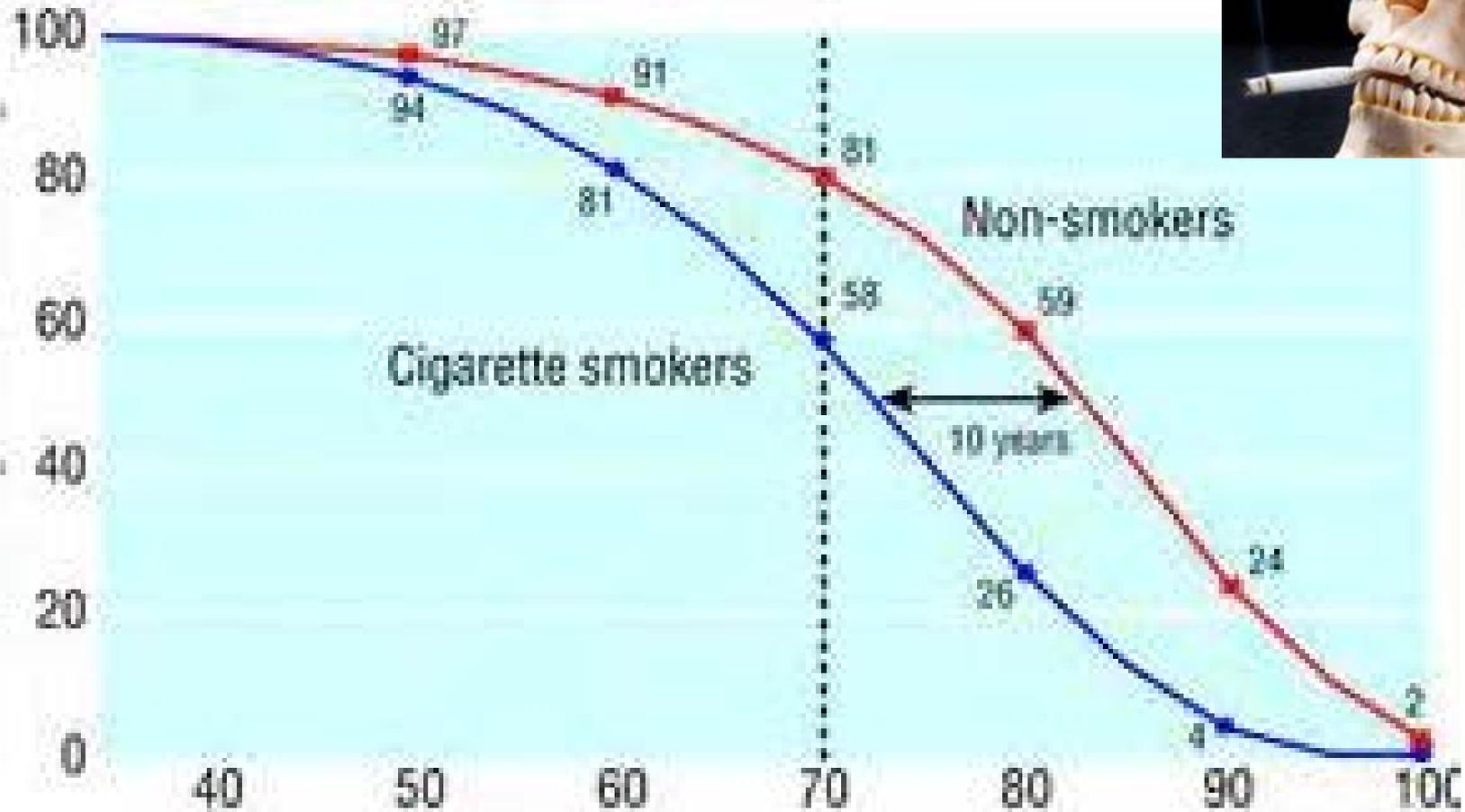


**ALL THE FISH BORN IN A YEAR**

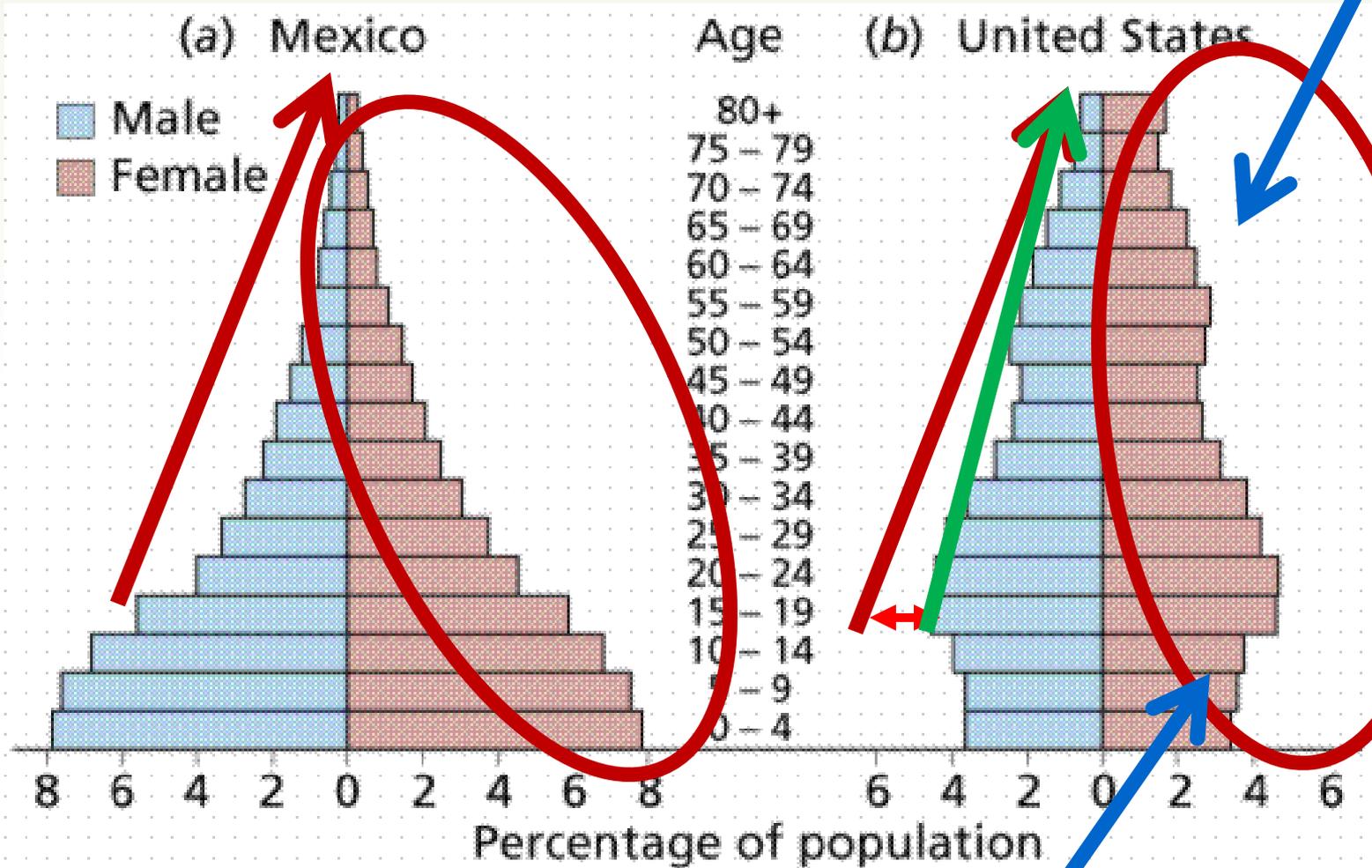
We are interested in their fate over time, because that provides the information to support the assessment calculations



# Age tells about death rates

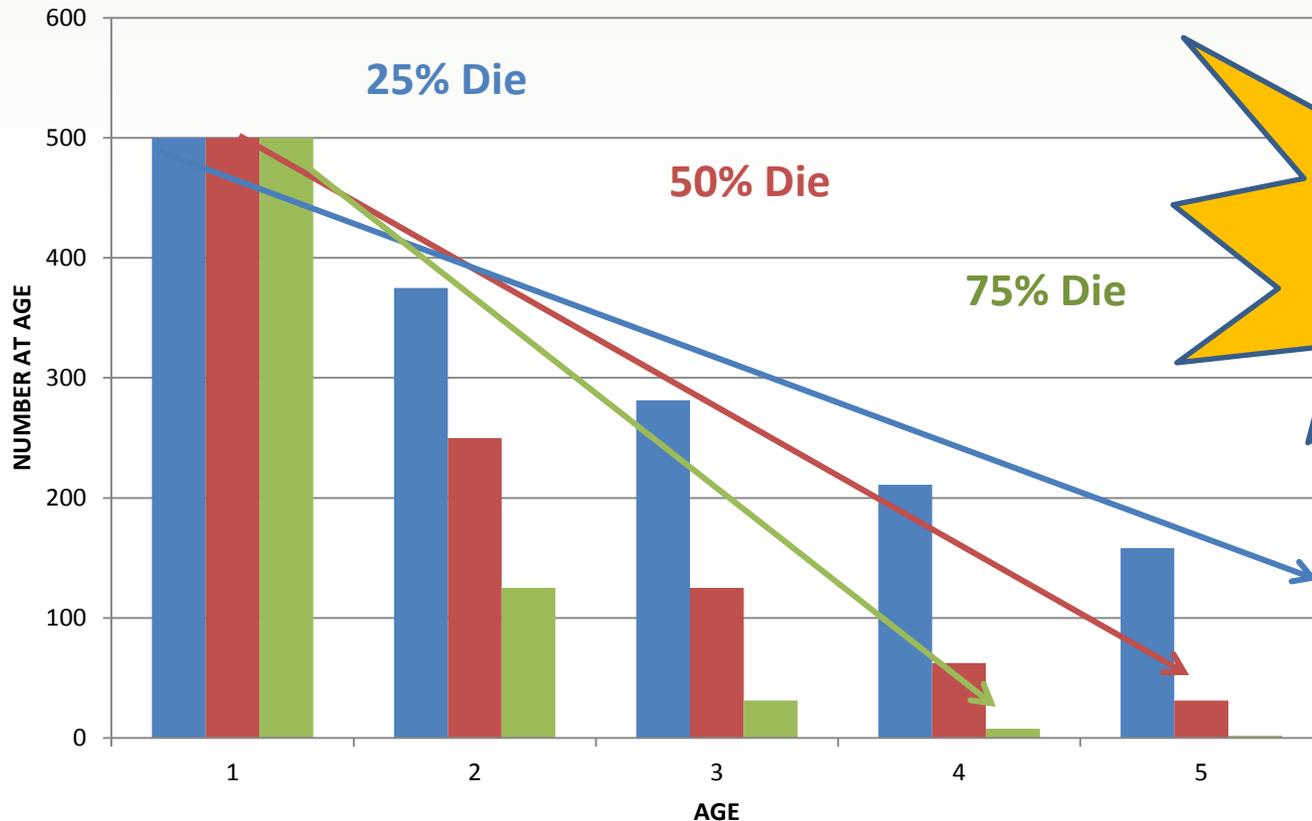


# N at age....For any population



# How this relates to Assessments

- The rate at which numbers drop as the cohort ages reveals the mortality rate for catch based assessments.



# TRACKING COHORTS

These are the  
"completed"  
cohorts –  
know all I will  
ever know

Incomplete  
Info =  
Uncertain  
Info

**Terminal Year  
Uncertainty**

YEAR	1	2	3	4	5	6
1982	0.0	38.5	27.8	8.9	4.8	2.6
1983	0.0	26.6	32.8	14.9	6.2	3.4
1984	0.0	107.7	6.4	25.1	19.8	3.3
1985	11.9	85.5	32.7	2.5	1.8	0.8
1986	0.0	56.5	10.8	6.6	0.0	0.5
1987	0.0	41.8	18.2	15.8	5.4	0.3
1988	0.0	21.1	15.3	12.9	13.3	2.9
1989	0.0	17.8	14.8	11.9	4.6	0.5
1990	0.0	53.0	10.7	7.7	3.2	0.7
1991	0.0	16.1	51.2	5.1	1.5	0.3
1992	0.0	0.4	29.7	24.5	0.4	0.2
1993	0.0	0.2	8.2	38.8	13.1	0.9

7 completed COHORTS in this MATRIX. 12 years data



# Stock and Recruitment

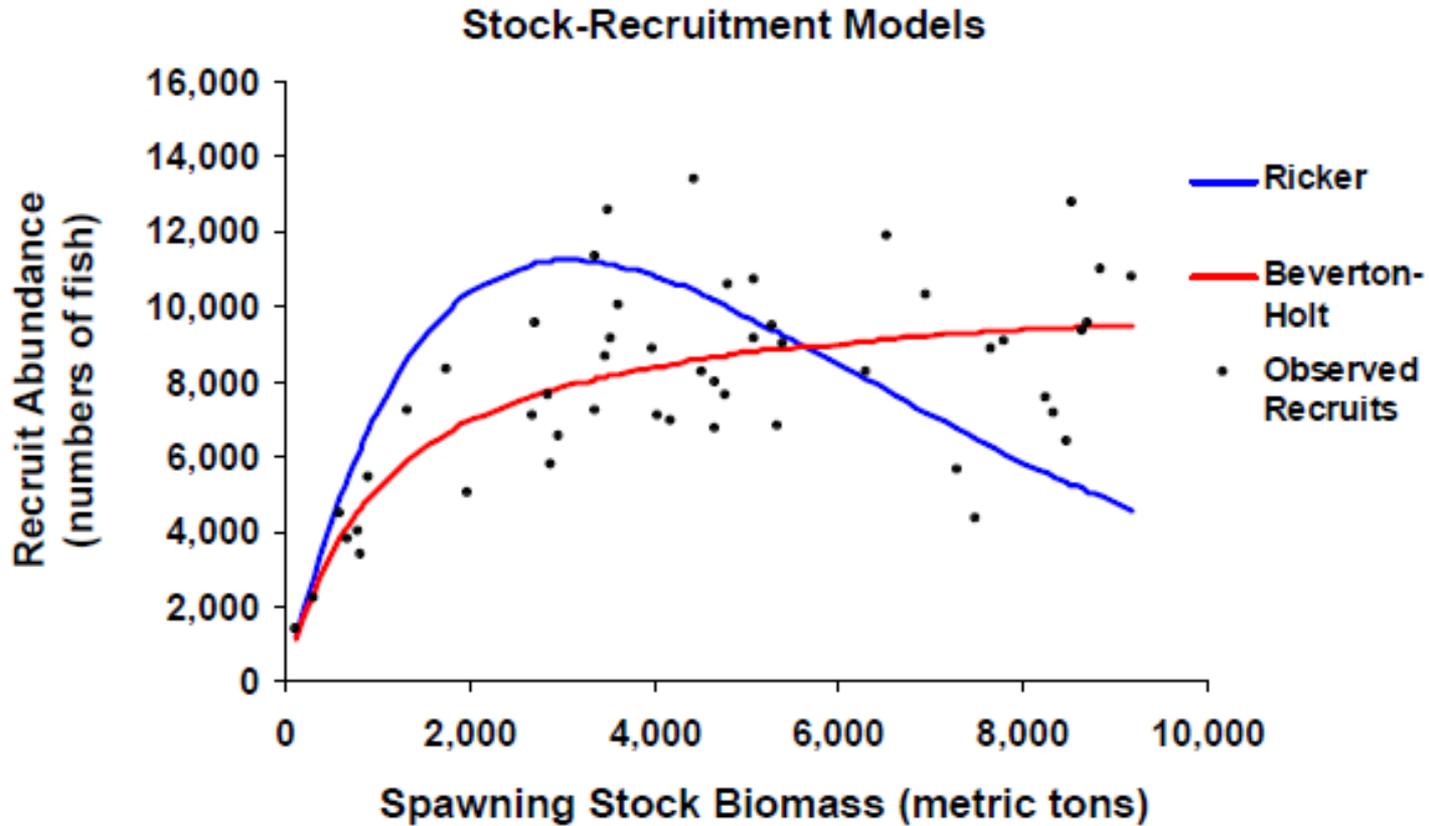
Another principle of conventional or finfish assessments –

There is a relation between the number of parents and the number of offspring, and there is some critical level of parents that should be retained to ensure future productivity.

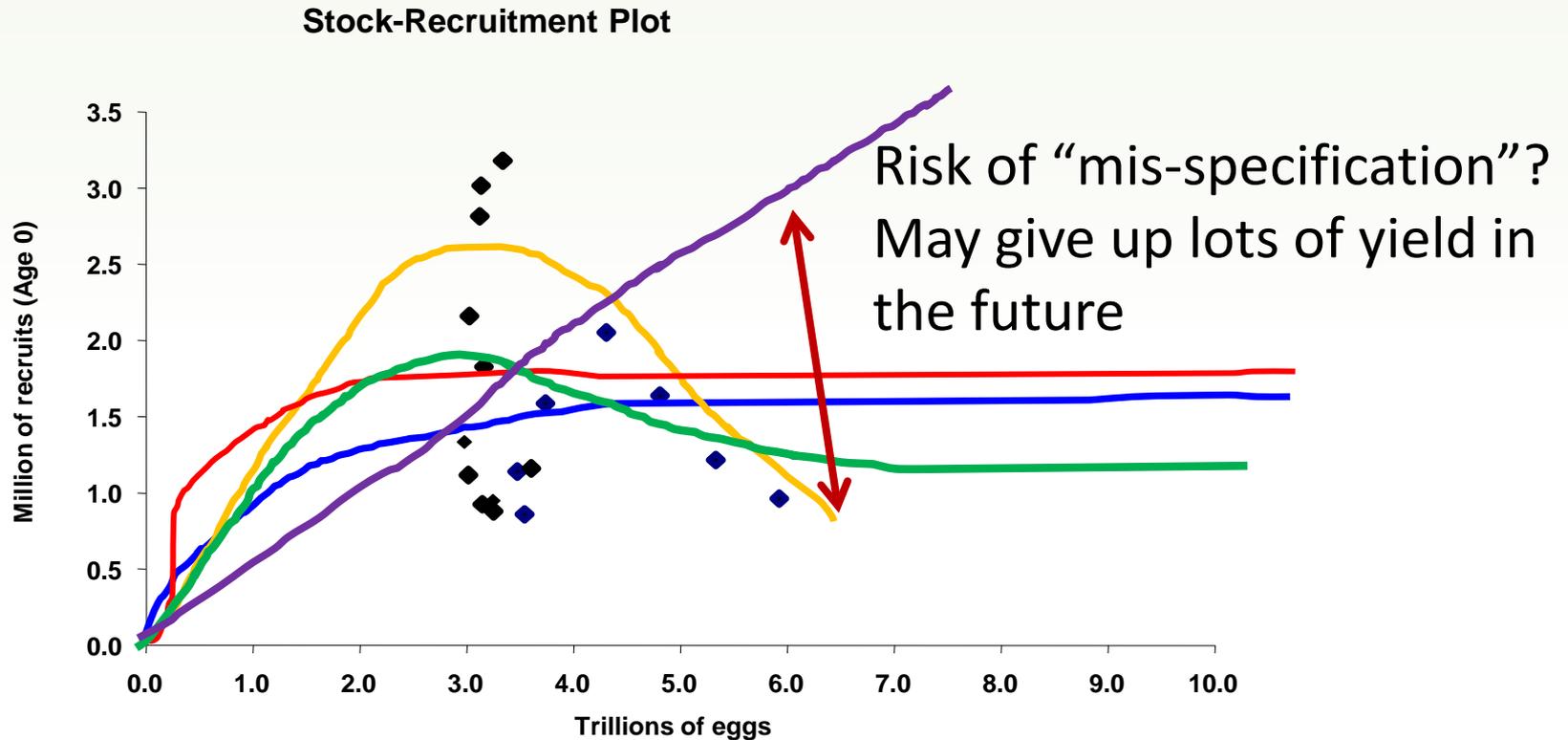
*Stock – Recruitment Relationship*



# Stock Recruit - Theory



# Stock- Recruit Reality



# How to deal with.....



That's  
why we  
are  
here,  
right???



# Crustacean Challenges

- Age ?!?
- Time Series Considerations
  - Does last year's population and catch tell me anything about this year?
- Stock Recruitment Concepts Apply ?
- Management Foundation?
  - Are low catches or high catches better indicators of the need for population concern?



# NEFSC – Northern Shrimp

- Hemaphroditic, **live to age 5**
  - mature males at age 2.5, female at age 3.5
- Discrete fall spawning period
- Initial assessments just catch and survey
- Catch at length model applied
  - include biological (developmental stage)
- Provides annual estimates



# NC Blue Crabs

- Dr. Eggleston and Students at NCSU
- Max age of around 5
- Multiple surveys, capture various life stages
- Cohort models, Stock Recruit relationship
  - catch at age, surveys



# SEFSC – Gulf Pink Shrimp

- Stock Synthesis using surveys, catch, growth
  - Catch by Month in 8 count categories
- MONTHS treated as YEARS in the model
- Catch at Size rather than age

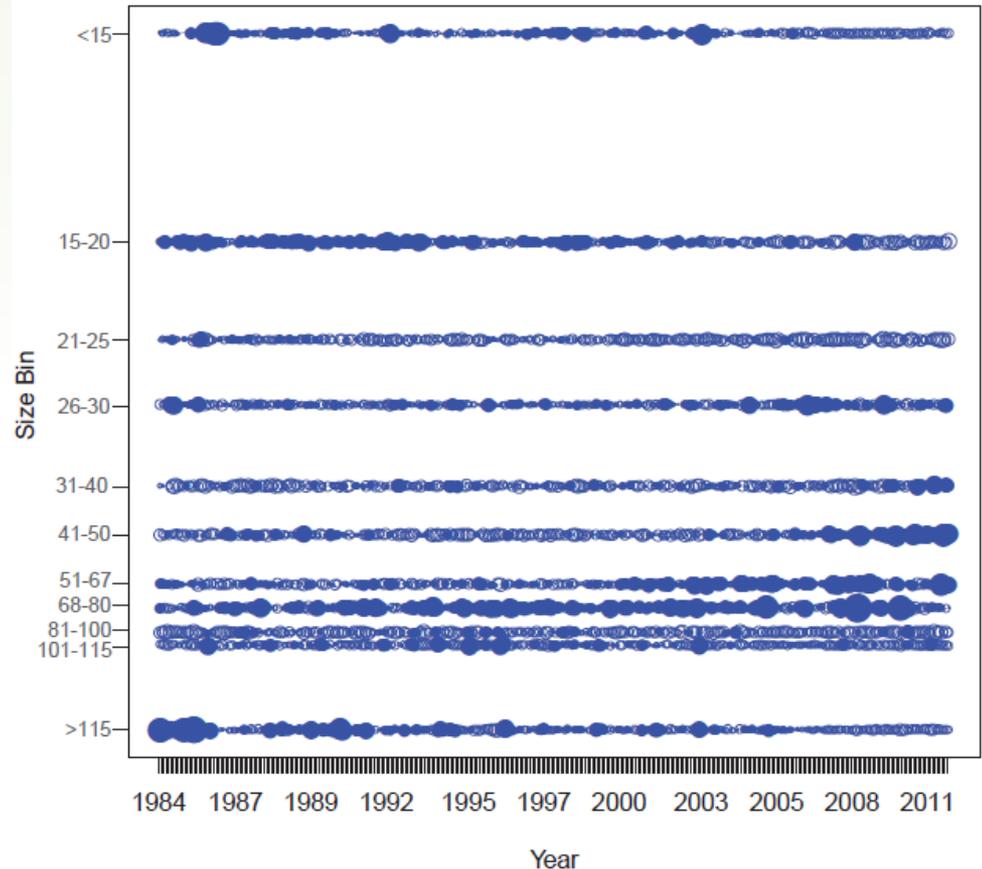


Figure 4.3.2. Residual fits for the commercial pink shrimp fishery, 1984-2011.



